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UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

JC978 U.S. PTO  
09/866965

05/29/01

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**BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Docket No.: 160.7067USU

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Ittai Korin

For: **METHOD AND SYSTEM FOR ANALYZING PERFORMANCE OF  
AN INVESTMENT PORTFOLIO TOGETHER WITH ASSOCIATED  
RISK**

Enclosed are:

**XXX** Specification (38 pps.) consisting of: Description (30 pps); Claims (7 pps);  
Abstract (1pp);

**XXX** 22 sheets of drawings;

**XXX** Declaration and Power of Attorney;

\_\_\_\_\_ An assignment of the invention to: \_\_\_\_\_ including \$40.00  
recordation fee and Assignment Recordation Form Cover Sheet;

\_\_\_\_\_ Information Disclosure Statement (with copies of patent);

\_\_\_\_\_ Form - PTO-1449;

**XXX** The undersigned attorney has verified that the applicant is entitled to a  
Small Entity Status; and

\_\_\_\_\_ Priority of U.S. Patent Application Serial No. \_\_\_\_\_, filed on  
is claimed under 35 U.S.C. §120.

**XXX** Priority of U.S. Provisional Patent Application Serial No. 60/207,795,  
filed on May 30, 2000 and Serial No. 60/240,994, filed on  
October 17, 2000 is claimed under 35 U.S.C. §119(e).

\_\_\_\_\_ A Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).

09866965-052901

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee
Total Claims	51 - 20 =	31	x \$18.00	\$558.00
Independent Claims	3 - 3 =	0	x \$80.00	\$0
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
TOTAL FILING FEE		\$1,268.00		

1/2 FILING FEE FOR SMALL ENTITY	\$634.00
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☐ No fee enclosed – filing by missing parts.

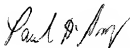
**XXX** A check in the amount of \$ 634.00 for the filing fee is enclosed.

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Paul D. Greeley, Esq.**  
**Ohlandt, Greeley, Ruggiero & Perle, L.L.P.**  
**One Landmark Square, 10th Floor**  
**Stamford, Connecticut 06901-2682**  
**U.S.A.**  
**Telephone: (203) 327-4500**  
**Telefax: (203) 327-6401**

May 29, 2001

Date of Signature



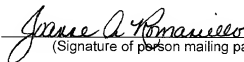
Paul D. Greeley, Esq.  
Attorney for Applicant(s)  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Registration No. 31,019  
(203) 327-4500

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL835677139US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231 on May 29, 2001.

Joanne A. Romaniello

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